



# Consolidated Annual Performance Evaluation Report

## 2019 Report

Published June 2020



**OKLAHOMA**

## CR-05 - Goals and Outcomes

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The state continues to address the four major priorities under the Community Development Block Grant Program 1. Water and wastewater projects, 2. Economic Development, 3. Public Facilities (not W/S projects) and 4. Planning and Capacity building.

Approximately 50% of all funds go toward addressing water and wastewater projects. Higher consideration is given to those communities that are under a consent order from the Oklahoma Department of Environmental Quality. Also higher consideration is given to those communities with higher low to moderate income percentages.

Approximately 20% of funds go toward addressing publicly owned infrastructure projects support and expanding business that will create jobs for low to moderate income persons. During this program year 158 jobs were created with 124 being LMI.

In 2019, ODOC staff reported 50 closed CDBG-DR projects with 173,956 persons served.

The State of Oklahoma's allocation of HOME funds for Program Year 2019 was \$7,611,246. The primary goal of the OHFA HOME Program is to retain and increase the supply of decent, safe, and sanitary affordable housing. OHFA furthers this goal by using the HOME Program financial resources as a catalyst in the development and strengthening of public partnerships with local governments, nonprofit organizations, private sector development entities, financial institutions, and debt and equity capital outlets.

HOME's allocation for 2019 was awarded based on the following percentages. 10% of the allocation was for Administration costs, 5% for CHDO Operating Assistance, at least 25% funded CHDO contracts, 10% funded downpayment assistant contracts, 25% funded and 50% of the allocation was used for Rental/Homeownership contracts. The 2019 HOME allocation produced 188 units of affordable housing.

All HTF funds that OHFA receives in 2019 will be used to provide housing for Extremely Low Income families or families at or below the Poverty Line, whichever is greater. In accordance with 24 CFR Part 93, OHFA will allocate 10% of its grant to program planning and administration costs (\$300,000); up to one third for funding operating cost reserves; the balance of the grant will provide capital funding for new construction or rehabilitation of housing units.

All HOPWA funds will be used to ensure housing for persons living with HIV/AIDS is an essential component of the Continuum of Care approach. Priority will be given to projects that target low-income individuals living with HIV/AIDS in Oklahoma, who are either currently homeless or in eminent danger of becoming homeless

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CDBG DR		CDBG-DR: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	250000	448807	179.52%			
CDBG DR		CDBG-DR: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	100000	95959	95.96%			
CDBG DR		CDBG-DR: \$	Other	Other	0	0				

State CDBG Program	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	350000	279209	79.77%	105000	279209	265.91%
State CDBG Program	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	100000	58901	58.90%	50000	58901	117.80%
State CDBG Program	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	150	158	105.33%	30	158	526.67%
State CDBG Program	Non-Housing Community Development	CDBG: \$	Other	Other	65000	19416	29.87%	20000	19416	97.08%
State ESG Program	Homeless	ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	6000	0	0.00%	1400	918	65.57%
State ESG Program	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	25000	0	0.00%	5000	2654	53.08%
State ESG Program	Homeless	ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	0				
State ESG Program	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	4500	0	0.00%	900	793	88.11%
State ESG Program	Homeless	ESG: \$	Jobs created/retained	Jobs	4000	0	0.00%		0	
State HOME Program	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	52	23	44.23%	52	23	44.23%

State HOME Program	Affordable Housing	HOME: \$	Rental units rehabilitated	Household Housing Unit	41	110	268.29%	41	110	268.29%
State HOME Program	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	73	0	0.00%	73	0	0.00%
State HOME Program	Affordable Housing	HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	60	55	91.67%	60	55	91.67%
State HOPWA Program	Non-Homeless Special Needs	HOPWA: \$	Housing for People with HIV/AIDS added	Household Housing Unit	60	55	91.67%	60	55	91.67%
State National Housing Trust Fund	Affordable Housing Homeless Non-Homeless Special Needs Extremely Low Income households	HTF: \$	Rental units constructed	Household Housing Unit	30	15	50.00%	30	15	50.00%
State National Housing Trust Fund	Affordable Housing Homeless Non-Homeless Special Needs Extremely Low Income households	HTF: \$	Rental units rehabilitated	Household Housing Unit	27	2	7.41%	27	2	7.41%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

Under the State's CDBG program the major priorities are Water and Wastewater projects, Economic Development, Other Public Facilities (not water and wastewater projects) and Planning and Capacity Building. Strategically, the State allocates approximately 45 percent to Water and Wastewater projects, 20% toward economic development, 30% toward other public facilities and the remaining for local planning. With regards to water and wastewater projects, priority emphasis is given to those communities that are experiencing severe compliance problems as determined by Consent Orders issued by the Oklahoma Department of Environmental Quality. With regard to other projects, funds are targeted to the highest low to moderate income communities.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HOPWA	ESG	HTF
White	0	133	38	1,542	14
Black or African American	0	53	11	423	1
Asian	0	0	0	8	0
American Indian or American Native	0	2	6	273	2
Native Hawaiian or Other Pacific Islander	0	0	0	16	0
<b>Total</b>	<b>0</b>	<b>188</b>	<b>55</b>	<b>2,262</b>	<b>17</b>
Hispanic	0	0	0	255	2
Not Hispanic	0	225	0	2,257	15

Table 2 – Table of assistance to racial and ethnic populations by source of funds

### Narrative

In order to achieve compliance with the Affirmative Marketing, Minority Outreach, and Fair Housing requirements at 24 CFR Parts 92.350 and 92.351, Written Agreements shall be executed between OHFA and all Program Awardees. Written Agreements shall prohibit discrimination on the basis of race, color, national origin, religion, sex, age, handicap, or familial status in connection with any activities funded with HOME Investment Partnerships Program assistance.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	14,152,241	10,762,618
HOME	public - federal	7,761,246	6,512,112
HOPWA	public - federal	369,988	254,211
ESG	public - federal	1,584,755	88,946
HTF	public - federal	3,000,000	2,786,344
Other	public - federal	700,000	

**Table 3 - Resources Made Available**

### Narrative

Typically other resources provided/matched with CDBG funds include: State appropriated funds from the Rural Economic Action Plan (REAP) and local funds from units of general local governments. Also funds from the USDA-Rural Development and revolving loan funds from the Oklahoma Water Resources Board.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State of Oklahoma	100	100	State Geography

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

The State of Oklahoma does not set aside reserved dollar amounts of assistance specifically for geographic areas within the State for CDBG, HOME, ESG or HOPWA programs. Rather each of these programs has its own distribution procedures that sometimes include specific requirements for projects within the state. These programs cover all 77 Counties.



## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

CDBG-Proposed leverage must be directly related to any proposed project. CDBG projects are awarded "points" based on verified leverage. Leverage may consist of cash or in-kind contributions. Cash includes other federal/state grants and loans and local capital improvement funds set-aside for a specified purpose in a municipal or county budget. Cash also include the fair market value of land, building or materials portion of infrastructure improvements. In-kind includes the value of force account labor, voluntary labor (\$10.00 per hour) or the services and supplies provided by another entity.

HOME Grantees must fully describe all development leverage resources, inducements and incentives that are present in the proposed Application. All sources of financing, except HOME, paying development budget costs are potentially eligible for leverage. Public and private resources, such as Rural Housing Incentive Districts, CDBG, AHP, AHTC equity, Historic Tax Credit equity, USDA-RHS, HUD, foundation funds, and private capital will be considered in the leverage analysis.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	5,846,414
2. Match contributed during current Federal fiscal year	99,384
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	5,945,798
4. Match liability for current Federal fiscal year	1,190,607
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	4,755,191

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
14-1490	07/08/2019	0	0	0	0	8,071	0	0
18-1601	09/30/2019	0	0	0	0	91,313	0	0

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
12,946	218,120	188,538	0	42,528

Table 7 – Program Income

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	13,479,859	451,778	0	2,720	9,962	13,015,399
Number	85	3	0	1	4	77
<b>Sub-Contracts</b>						
Number	136	4	0	9	2	121
Dollar Amount	24,057,959	583,278	0	372,617	127,720	22,974,344
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Dollar Amount	13,479,859	657,055	12,822,804			
Number	85	15	70			
<b>Sub-Contracts</b>						
Number	136	17	119			
Dollar Amount	24,057,659	821,555	23,236,104			

**Table 8 - Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 9 – Minority Owners of Rental Property**

**Relocation and Real Property Acquisition** – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		5	407,688			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>0</b>	<b>0</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	20	23
Number of households supported through Rehab of Existing Units	40	110
Number of households supported through Acquisition of Existing Units	50	55
<b>Total</b>	<b>110</b>	<b>188</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

Under the States CDBG program no affordable housing projects have been funded. The State's HOME program biggest challenge is not enough funds to support the housing needs of the State. The HOME program awards are based on the area with the biggest need. The HOME program continues to face the biggest challenge in the State, a need for more rental housing.

**Discuss how these outcomes will impact future annual action plans.**

Under the States CDBG program no affordable housing projects have been funded. In the future, the State's HOME program will continue to award funds where there is the greatest need. A majority of the allocation will continue to be used on Rental housing. If that need changes, the State will change its future plans accordingly.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

<b>Number of Households Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>	<b>HTF Actual</b>
Extremely Low-income	0	41	17
Low-income	0	56	
Moderate-income	0	91	
<b>Total</b>	<b>0</b>	<b>188</b>	

**Table 13 – Number of Households Served**

### **Narrative Information**

All HTF funds that OHFA receives in 2019 will be used to provide housing for Extremely Low Income families or families at or below the Poverty Line, whichever is greater.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures met.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The State of Oklahoma makes available a percentage of its HOME program funds to be paired with Continuum of Care funding. The state works closely with HUD C of C staff to make these projects work.

There are two HOPWA Project Sponsors that coordinate services with COC to meet the needs of all categories of homelessness individuals that meet the eligibility criteria under the HOPWA Program guidelines.

The State of Oklahoma encourages the pairing of Housing Trust Fund monies with Continuum of Care funding.

ESG: The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.



## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

OHFA is itself a public housing agency that administers the Section 8 Housing Choice Voucher Program on a Statewide basis. OHFA also monitors the needs and activities of the other public housing agencies in the State of Oklahoma.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

Efforts to collaborate more extensively with PHAs within the State of Oklahoma are underway. There are a total of 104 PHAs and 99 currently fall within the jurisdiction of the State Consolidated Plan. The five remaining public housing agencies – located in Norman, Lawton, Tulsa, Oklahoma City, and Shawnee – fall under other participating jurisdictions.

PHAs in Oklahoma provide resident involvement and awareness activities in connection with property management and asset-building through homeownership. This is accomplished through such activities as:

- Having housing authority residents serve on each PHA's Board of Commissioners.
- Affording residents notice and comment periods concerning proposed policy changes governing property operations.
- Affording residents input and comment processes regarding resource use.

Additionally, the Residents Initiative Network of Oklahoma is a proposed initiative that will offer a statewide program to train PHA residents in:

- Accessing and utilizing the citizen participation processes offered by the Authorities;
- Resident rights, duties and responsibilities; and
- Transitioning from rental to homeownership. Various PHAs within the State promote homeownership to their resident base.

Homeownership fairs, credit counseling, and direct contact with mortgage lenders and credit reporting services are examples of homeownership resident initiatives. On a limited basis, some PHAs develop their own single-family units and work to transition residents into ownership.

### **Actions taken to provide assistance to troubled PHAs**

OHFA will wait to hear from HUD regarding whether or not there are currently any troubled public housing authorities.

OHFA will work closely with HUD to provide technical assistance and oversight where necessary. **It is not anticipated that any HOME funds will be used to help troubled public housing authorities. The State of Oklahoma has not appropriated funds for this purpose, nor has it authorized OHFA to assume the federal government's role of subsidizing the operations of public housing agencies.**

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

With regard to the CDBG and ESG programs the ODOC does not have jurisdictional authority to affect broad-based changes to a community's local ordinances. Additionally, from a statewide perspective the State Legislature is responsible for creating laws and regulations that may govern tax policies, fees and basic building codes, etc.

## **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The primary obstacle to meeting under-served needs is the lack of adequate funding. There are also some secondary obstacles with regard to the State's ability to coordinate resources in the most efficient and effective manner.

The State's strategy to overcome existing deficiencies in its housing and community institutional structure is based on education, outreach, and comprehensive planning. Consumers, providers, financiers, policy makers, advocates, and communities can benefit from increased cross-sector communication, dialogue, and education in understanding that economic factors are inextricably linked with meaningful solutions to the housing and community development needs and improved quality of life among the lower-income population.

## **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

HOME Awardees must comply with:

- Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance; Final Rule (24 CFR Part 35, et al.), and
- Oklahoma Lead-based Paint Management Act, 27A O.S. Supp 1994, Section 2-12-101 *et seq.*, as amended.
- Pamphlet: Protect Your Family From Lead In Your Home
- Summary of Lead-Based Paint Requirements by Activity
- Lead Safe Housing Rule
- The Renovation, Repair & Painting Rule
- Pamphlet: Renovate Right; Important Lead Hazard Information for Families, Child Care Providers and Schools

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

Within the CDBG program ODOC funds Economic Development projects. This program provides funding for communities to undertake publicly owned infrastructure to support a business that is expanding and committed to creating new jobs. Under the CDBG program at least 51% of the jobs created must be offered to persons who are of low to moderate income. ODOC has implemented an additional requirement which requires that the average of all jobs created must be at least 110% of the average county wage. Therefore, under this program low income persons/families are being hired into a better, higher paying job, which is usually higher than the average county wage.

The State of Oklahoma is committed to allocating appropriate resources with the goal of allowing its citizens the opportunity to reach self-sufficiency.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

Under the state's CDBG program most funding goes to small rural communities who lack the administrative capacity to implement CDBG projects without help. ODOC has developed over the years a strong relationship with the 11 designated Councils of Government. These regionally based organizations provide much needed administrative knowledge of the CDBG program to our rural communities. Additionally, ODOC requires all individuals who administer grants for a fee must be certified as CDBG grant administrators.

### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

Under the current CDBG program housing projects are not funded. Therefore, no real coordination exists between public and private housing and social service agencies regarding the CDBG program.

### **Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

Under the State CDBG program grant recipients (units of general local government) must provide documentation of steps taken to affirmatively further fair housing, regardless of the type of CDBG activity funded by ODOC for each fiscal year the grant recipient has received a CDBG contract. The local government must demonstrate that it is affirmatively furthering fair housing on a continual basis, not merely as a requirement of spending CDBG dollars. Efforts should be made to identify discriminatory housing patterns and alleviate them by working with developers, landlords, realtors, residents and government agencies.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

## **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

The State has adopted a citizen participation and consultation process for the Consolidated Plan and CAPER. Because the applicable programs cover the entire State, announcements are sent primarily through the New Pioneer which is ODOCs Community Development newsletter. This letter reaches all 600 communities, 77 Counties, Community Action Agencies, Public Housing Authorities, Council of Governments, and other state agencies. The New Pioneer reaches approximately 6000 email addresses. Plus ESG program announcements are released through the GovDelivery List serve and through Continuum of Care Networks. Additionally, applicable information is also placed on ODOCs website.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

At this time within the CDBG program the State is not changing any of its overall program objectives. However the grant maximums for Water and Wastewater projects have been increased from \$350,000 to \$450,000. The grant maximum has not been increased in approximately 11 years. The increase is needed to accommodate the increase in construction costs of water and wastewater projects.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

OHFA will verify that the Awardee of a low-income housing Project is maintaining records for each qualified low-income unit in the Project. These records must show, for each year in the compliance period, the information required by the record-keeping provisions contained in the HOME Regulations, incorporated herein by reference.

OHFA will verify that the records documenting compliance with the HOME Regulations for each year as described in Paragraph A above are retained for the entire affordability period.

**OHFA will conduct construction inspections** in order to ensure that HOME funds are not being drawn down for work that has not been completed, work that has not been done according to the specifications of the Written Agreement, or costs that are ineligible for HOME funding.

OHFA will inspect one hundred percent (100%) of the HOME Written Agreements as prescribed by HUD regulations and will inspect the low-income certification, the documentation the Awardee has received to support that certification, the rent records for Rental Projects and the home valuation limits for Homebuyer Projects.

OHFA inspected over 175 written agreements during program year 2019 that represent 400 units. The detail listing of all the contracts and the corresponding findings can be found on the monitoring list spreadsheet that is available for review. Due to COVID-19 the Compliance Staff was not able to conduct on-site inspections in March of 2020.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

In order to achieve compliance with the Affirmative Marketing, Minority Outreach, and Fair Housing requirements at 24 CFR Parts 92.350 and 92.351, Written Agreements shall be executed between OHFA and all Program Awardees. Written Agreements shall prohibit discrimination on the basis of race, color, national origin, religion, sex, age, handicap, or familial status in connection with any activities funded with HOME Investment Partnerships Program assistance.

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

OHFA has received approximately \$700,000 of program income during 2019. Most of the program income generated is from projects combined with LIHTC funds. The \$700,000 of program income was generated by approx 15 projects. The program income is used before any new HOME funds are drawdown. OHFA maintains a program income re-use log that shows the activities that uses program income.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Oklahoma has a thorough network of public and private nonprofit organizations that delivers housing and supportive service needs. Many federal as well as State resources are provided through these entities directly to populations with supportive needs.

To attract and incorporate the programs and products offered through the supportive services network with the provision of affordable housing, many public intervention and private affordable housing resource providers encourage the use of development partnerships in their project selection systems. The State intends to continue its coordination of appropriate supportive services with its affordable housing activities through the use of funding selection methodologies.



## CR-55 - HOPWA 91.520(e)

### Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

<b>Number of Households Served Through:</b>	<b>One-year Goal</b>	<b>Actual</b>
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	40	33
Tenant-based rental assistance	45	10
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	5
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	7

**Table 14 – HOPWA Number of Households Served**

### Narrative

OHFA has designated its HOPWA Program to support:

- Project or tenant-based rental assistance, including assistance for group housing;
- Short-term emergency rent and utility payments to prevent eviction or loss of services;
- Supportive services which include, but are not limited to: health and mental health, substance abuse, child care, assessment and case management, nutrition, intensive medical care, assistance in accessing federal, state, and local programs, and assistance in locating permanent housing;
- Resource identification to establish, coordinate, and develop housing assistance to eligible persons;
- Technical assistance in establishing and operating a community residence, including planning and other pre-construction activities;
- Operating costs for housing;
- Acquisition, rehabilitation, conversion, or lease of buildings used in the project; and
- Administrative expenses.



**CR-56 - HTF 91.520(h)**

**Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.**

All HTF funds that OHFA receives in 2019 will be used to provide housing for Extremely Low Income families or families at or below the Poverty Line, whichever is greater. In accordance with 24 CFR Part 93, OHFA will allocate 10% of its grant to program planning and administration costs (\$300,000); up to one third for funding operating cost reserves; the balance of the grant will provide capital funding for new construction or rehabilitation of housing units.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	12	0	0	12	5	17
Homebuyer	0	0	0	0	0	0

**Table 15 - CR-56 HTF Units in HTF activities completed during the period**

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

**Recipient Name** OKLAHOMA  
**Organizational DUNS Number** 824700058  
**EIN/TIN Number** 736017987  
**Identify the Field Office** OKLAHOMA CITY  
**Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance**

**ESG Contact Name**

<b>Prefix</b>	Mr
<b>First Name</b>	Marshall
<b>Middle Name</b>	0
<b>Last Name</b>	Vogts
<b>Suffix</b>	0
<b>Title</b>	Division Director

**ESG Contact Address**

<b>Street Address 1</b>	900 N. Stiles
<b>Street Address 2</b>	0
<b>City</b>	Oklahoma City
<b>State</b>	OK
<b>ZIP Code</b>	73104-3234
<b>Phone Number</b>	4058155339
<b>Extension</b>	0
<b>Fax Number</b>	0
<b>Email Address</b>	marshall.vogts@okcommerce.gov

**ESG Secondary Contact**

<b>Prefix</b>
<b>First Name</b>
<b>Last Name</b>
<b>Suffix</b>
<b>Title</b>
<b>Phone Number</b>
<b>Extension</b>
<b>Email Address</b>

**2. Reporting Period—All Recipients Complete**

<b>Program Year Start Date</b>	04/01/2019
<b>Program Year End Date</b>	03/31/2020

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** GREAT PLAINS IMPROVEMENT FOUNDATION, INC

**City:** Lawton

**State:** OK

**Zip Code:** 73501, 2409

**DUNS Number:** 070845706

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 122122

**Subrecipient or Contractor Name:** COMMUNITY DEVELOPMENT SUPPORT ASSOCIATION

**City:** Enid

**State:** OK

**Zip Code:** 73701, 4670

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 46473

**Subrecipient or Contractor Name:** NORTHEAST OKLAHOMA CAA, INC

**City:** Jay

**State:** OK

**Zip Code:** 74346, 0603

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 116356

**Subrecipient or Contractor Name:** YOUTH SERVICES OF TULSA INC.

**City:** TULSA

**State:** OK

**Zip Code:** ,

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 46840

**Subrecipient or Contractor Name:** CENTRAL OKLAHOMA COMMUNITY ACTION AGENCY

**City:** Shawnee

**State:** OK

**Zip Code:** 74801, 7019

**DUNS Number:** 094776655

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 31911

**Subrecipient or Contractor Name:** YOUTH AND FAMILY SERVICES, INC. (EL RENO)

**City:** El Reno

**State:** OK

**Zip Code:** 73036, 9120

**DUNS Number:** 168582302

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 88025

**Subrecipient or Contractor Name:** NORTHWEST DOMESTIC CRISIS SERVICES, INC.

**City:** Woodward

**State:** OK

**Zip Code:** 73801, 2825

**DUNS Number:** 867237471

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 113436

**Subrecipient or Contractor Name:** KI BOIS Community Action Foundation, Inc.

**City:** Stigler

**State:** OK

**Zip Code:** 74462, 0727

**DUNS Number:** 119765923

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 87000

**Subrecipient or Contractor Name:** INCA Community Services, Inc.

**City:** Tishomingo

**State:** OK

**Zip Code:** 73460, 1605

**DUNS Number:** 119850428

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 87001

**Subrecipient or Contractor Name:** Northern Oklahoma Youth Services Center and Shelter, Inc.

**City:** Ponca City

**State:** OK

**Zip Code:** 74601, 1108

**DUNS Number:** 197399348

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 51473

**Subrecipient or Contractor Name:** Community Action Resource & Development

**City:** Claremore

**State:** OK

**Zip Code:** 74017, 2106

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 58178

**Subrecipient or Contractor Name:** Payne County Youth Services, Inc.

**City:** Stillwater

**State:** OK

**Zip Code:** 74076, 2647

**DUNS Number:** 168591501

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 51473

**Subrecipient or Contractor Name:** Food and Shelter, Inc.

**City:** Norman

**State:** OK

**Zip Code:** 73069, 5609

**DUNS Number:** 800338628

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 794710

**Subrecipient or Contractor Name:** Thunderbird Clubhouse

**City:** Norman

**State:** OK

**Zip Code:** 73071, 2967

**DUNS Number:** 28324650

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 75000

**Subrecipient or Contractor Name:** Marie Detty Youth and Family Services, Inc.

**City:** Lawton

**State:** OK

**Zip Code:** 73501, 4016

**DUNS Number:** 605081462

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 122122

**Subrecipient or Contractor Name:** Women's Resource Center

**City:** Norman

**State:** OK

**Zip Code:** 73069,

**DUNS Number:**

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 8900



**Subrecipient or Contractor Name:** Deep Fork CAF Inc.

**City:** Okmulgee

**State:** OK

**Zip Code:** 74447, 5017

**DUNS Number:** 119737203

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 73851

**Subrecipient or Contractor Name:** Seminole County DV Taskforce

**City:** Seminole

**State:** OK

**Zip Code:** 74868, 3158

**DUNS Number:** 021883207

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 25807

**Subrecipient or Contractor Name:** Stillwater Housing Development Corporation

**City:** Stillwater

**State:** OK

**Zip Code:** 74074, 4707

**DUNS Number:** 79929053

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 51473

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	433
Children	326
Don't Know/Refused/Other	0
Missing Information	34
<b>Total</b>	<b>793</b>

Table 16 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	527
Children	391
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>918</b>

Table 17 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	1,665
Children	989
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>2,654</b>

Table 18 – Shelter Information



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	1,509
Children	2,553
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>4,062</b>

Table 20 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	935
Female	1,507
Transgender	4
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>2,446</b>

Table 21 – Gender Information

**6. Age—Complete for All Activities**

	<b>Total</b>
Under 18	834
18-24	245
25 and over	1,367
Don't Know/Refused/Other	1
Missing Information	88
<b>Total</b>	<b>2,535</b>

**Table 22 – Age Information**

**7. Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	90	0	0	0
Victims of Domestic Violence	479	0	0	0
Elderly	53	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	41	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	225	0	0	0
Chronic Substance Abuse	66	0	0	0
Other Disability	232	0	0	0
Total (Unduplicated if possible)	0	0	0	0

**Table 23 – Special Population Served**

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	88,883
Total Number of bed-nights provided	74,585
Capacity Utilization	83.91%

Table 24 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The performance standards developed through consultation with the Continuum of Care are : 1)Number of individuals and families accessing homeless assistance services that enter permanent housing, 2) Number of households accessing homeless assistance program services increase or maintain their income upon exit, 3)Number of households accessing prevention services maintained their permanent housing; 4) Number of households accessing housing services enter permanent housing and 5) Number of unaccompanied youth access homeless assistance services return/enter permanent housing. The performance measures are discussed in Statewide CoC meetings as well as all Focus Group meetings (as described in Citizen Participation Plan) held before submitted the ESG Action Plan annually.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Expenditures for Rental Assistance	0	116,631	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	66,353	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	3,299	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	96,926	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>283,209</b>	<b>0</b>

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Expenditures for Rental Assistance	0	234,509	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	68,356	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	85,015	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	168,586	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>556,466</b>	<b>0</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Essential Services	0	171,694	0
Operations	0	373,004	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>544,698</b>	<b>0</b>

**Table 27 – ESG Expenditures for Emergency Shelter**

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Street Outreach	0	0	0
HMIS	0	60,726	0
Administration	0	50,711	0

**Table 28 - Other Grant Expenditures**

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2017	2018	2019
	0	1,495,810	0

**Table 29 - Total ESG Funds Expended**

**11f. Match Source**

	2017	2018	2019
Other Non-ESG HUD Funds	0	20,128	0
Other Federal Funds	0	92,106	0
State Government	0	477,307	0
Local Government	0	0	0
Private Funds	0	222,029	0



Other	0	764,385	0
Fees	0	15,021	0
Program Income	0	18,742	0
<b>Total Match Amount</b>	<b>0</b>	<b>1,609,718</b>	<b>0</b>

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>
	0	3,105,528	0

**Table 31 - Total Amount of Funds Expended on ESG Activities**